

In a network computing environment, on-line directories such as a directory of printers have been an important tool for locating and organizing information. The directories are

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The present invention addresses the

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Figure 8 depicts a window of a client side application program listing attributes of an object for changing attribute values of an object in a directory of a directory server.

Figure 9 depicts a window of an client application program for setting options for receiving multicast messages from a directory server.

5               Figure 10 depicts a window of an LDAP client application showing Canon network printer objects in the directory server.

10              Figure 11 depicts a window of native application showing a directory structure in a directory server.

Figure 12 depicts the window of Figure 11 with a window for a user to perform an ADD operation in the directory server.

15              Figure 13 depicts the window of Figure 11 with a window for a user to perform a MODIFY operation.

Figure 14 depicts the window of Figure 10 after an object TestPrinter has been added.

20              Figure 15 depicts the window of Figure 11 after an object TestPrinter has been added.

Figure 16 depicts a window showing an example of a directory structure.

Figure 17 is a flowchart of process steps of a client side application.

25              Figure 18 is a flowchart of process steps of a server side application.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

##### 30              System Overview

Figure 1 depicts a network environment in which the invention may be employed. As seen in Figure 1, network 10 may include servers 11 and 12, clients 13 and 14, client/administrators 15 and 16,

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and peripheral devices 17 and 18 connected via network connection 19. Network connection 19 may be a local area network (LAN), a wide area network (WAN), or any other type of network in which multicasting may be employed. Multicasting as used in the practice of the invention is defined as the transmission of information to a multicast network address such that clients who register with the multicast network address receive the information. In this regard, the invention is preferably employed in a system that performs multicasting utilizing a TCP/IP protocol. However, the invention is not limited to systems that utilize TCP/IP and may be employed in systems that perform multicasting utilizing other protocols.

Clients 13 and 14 and client/administrators 15 and 16 are preferably computer workstations attached to network connection 19. They may be, for example, IBM-compatible personal computers, Macintosh personal computers, UNIX workstations, Sun Microsystems workstations, or any other type of workstation. Clients 13 and 14 and client/administrators 15 and 16 include an LDAP client application program that allows users to make changes in a directory server application (hereinafter referred to as directory server) in servers 11 and 12. Some examples of directory server application programs are Microsoft Active Directory Server, Netscape Directory Server and Novell Directory Server. The LDAP client application program communicates with the directory server running in servers 11 and 12 via network connection 19. Communication between clients 13 and 14 and client/administrators 15 and 16 with the

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It should be noted that the LDAP client application in clients 13 and 14 and client/administrators 15 and 16 need not correspond to the directory server application in servers 11 and 12 in order for the LDAP client application to make changes in the directory server in servers 11 and 12. For instance, if the directory server application in servers 11 and 12 is Netscape Directory Server, any LDAP client application in clients 13 and 14 and client/administrators 15 and 16 could be utilized to make changes in the Netscape Directory Server and the LDAP client does not have to be a Netscape Directory Server LDAP client. Thus, any LDAP client can be utilized to make changes in the directory server application of servers 11 and 12.



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For broadcasting, generally only one IP address is assigned. Messages transmitted to the

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Peripheral devices are an example of one item that is frequently changed on a network. For instance, new peripheral devices are often added to the network and existing devices are often removed or upgraded. As such, changes in peripheral devices connected to the network are one item that a network administrator may want to keep track of. However, it should be noted that peripheral devices are not required for practicing the invention and the invention may be employed in systems with directories that do not include any peripheral devices, but which may only contain virtual objects such as user names, service provider names, etc.

#### Directory Tracking Tool Architecture

Figure 3 depicts an example of an architecture of an LDAP client application and Figure 4 depicts an example of an architecture of a directory server application utilizing plug-ins according to the invention. The architecture of the LDAP client of Figure 3 generally comprises two modules: one module for reading and writing information about objects to the directory server, and the other module for receiving multicast packets. The architecture of Figure 4 generally comprises plug-ins generating information packets about changes made in the directory server and multicasting the information packets to registered members of a multicast group.

Figure 3 depicts an example of an LDAP client architecture as it may be implemented in an existing directory server LDAP client application program running in client 13. As seen in Figure 3, the LDAP client implements three main components for

communicating with directory server 24: tracking  
tool user interface 20, an LDAP C++ SDK 21  
(Lightweight Directory Access Protocol Software  
Development Kit Application Programming Interface)  
5 and an LDAP C SDK API's 22 of the existing directory  
server application. In a case where the LDAP  
program is Netscape Directory Server, Netscape's  
LDAP C SDK API's would be utilized. The tracking  
tool interface implements the C++ LDAP SDK for  
10 interfacing with the existing application's LDAP C  
SDK API's. The C++ LDAP SDK API's includes an  
exposed API which the tracking tool uses for  
performing operations on the directory server. The  
C++ LDAP SDK then calls the existing applications  
15 LDAP SDK API's for actually performing the  
operations. The C++ LDAP SDK parses the result,  
which makes it easier for the tracking tool. If an  
error occurs in the C++ LDAP SDK, it displays the  
error rather than making the tracking tool handle  
20 the problem.

The tracking tool also utilizes Microsoft  
Foundation Classes for displaying the directory  
structure once communication has been established  
with the directory server by the LDAP client.  
25 Additionally, the tracking tool utilizes the windows  
socket for receiving multicast messages. The  
windows socket is part of the second module and will  
be discussed in more detail below.

In the operation of the first module, a  
30 schema should be present in the directory server for  
objectclasses that are being added. A network  
administrator would normally create the schema in  
the directory server when the directory server is  
initially setup. Additionally, a user performing

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Once the server configuration has been entered and saved, the LDAP client establishes communication with the directory server. The LDAP client, utilizing the Microsoft Foundation Classes, depicts the directory server objectclass and object information. For example, after server configuration settings have been entered as described with regard to Figure 5, the LDAP client establishes communication with the directory server and opens a new window, such as window 100 shown in Figure 10. As seen in Figure 10, window 100 depicts the directory server (ou=NetworkPrinters, dc=ats, dc=canon, dc=com) that was configured in Figure 5. Window 101 of window 100 depicts the selected objectclass of the directory server and window 102 depicts each object contained within the selected objectclass. Window 100 also provides an interface for the user to perform changes, i.e. edit, the directory server. That is, the user utilizes window 100 to perform ADD, DELETE, MODIFY or SEARCH operations in the directory shown in windows 101 and 102.

Rather than accessing the directory server with the LDAP client as described above, the directory server could be accessed by a native application in the server. Figure 11 depicts a window 200 that may be displayed if the directory server is accessed with a native application. The directory server depicted in window 200 is for the same directory server accessed above utilizing the

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or object, the user could perform a right click on the mouse to activate a cascading window that includes the various directory operations. From the cascading window, the user could select one of the options to be performed.

If a change is to made utilizing the native application depicted in Figure 11 rather than the LDAP client depicted in Figure 10, a somewhat similar operation is performed. For instance, a user could select Action button 205 with a mouse. Upon selecting Action button 205, a cascading drop down menu is displayed that provides the user with various directory operation options, including ADD, DELETE, MODIFY and SEARCH. Alternatively, rather than selecting Action button 205, the user could highlight an objectclass or object in windows 201 or 202 and click on the right mouse button (or any other button for which a pointing device provides for displaying additional options) which results in a cascading window that provides similar options.

It should be noted that the directory operation options provided by buttons 105 and 205 may be dictated by whether the highlighted object is an objectclass or an object. In more detail, it may be permissible to delete objects from an objectclass, but not permissible to delete an objectclass while it still contains objects. In the former case, a user is permitted to perform DELETE and MODIFY operations on a selected object and therefore, selecting an object depicted in window 102 or 202 may result in a cascading window that includes DELETE and MODIFY options. However, in the latter case, a user may not be permitted to delete objectclass 103 or 203 while it still contains any

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of the objects listed in listing 104 or 204,  
respectively. As such, highlighting objectclass 103  
in Figure 10 may result in a cascading window that  
does not provide an option to perform a DELETE  
5 operation. Further, objects are generally only  
added to objectclasses and therefore, selecting  
object 107 or 207 may result in a cascading window  
that does not provide for an ADD operation to be  
performed. Accordingly, the directory operations  
10 provided in the cascading menu may be determined  
based on whether the highlighted object is an  
objectclass or an object.

A description will now be made of a user  
performing ADD, DELETE and MODIFY operations in the  
15 directory server. The description includes changes  
made both with an LDAP client and with a native  
application.

Utilizing an LDAP client as shown Figure  
10, when a user wants to add an object to the  
20 directory server, the user selects directory  
operation button 105 which includes an ADD option to  
add a new object to the objectclass Canon Network  
Printers. Upon selecting the ADD option, a window  
such as window 60 shown in Figure 6 may be depicted.  
25 Window 60 in Figure 6 is an example of a window that  
may be displayed for adding an object to an  
objectclass via the LDAP client.

As seen in Figure 6, boxes 61 to 64 are  
greyed out meaning that they are required fields  
30 that are automatically filled in and cannot be  
changed. The information for these fields are  
required attributes of all objects contained within  
that objectclass and are specified in the schema of  
the objectclass that the object is being added to.

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As seen in Figure 7, window 70 provides listing 71 of the network printers. Window 70 also provides Edit button 73 that could be used to perform a MODIFY operation, and Delete button 72 that could be used to perform a DELETE operation. Once the search criteria have been specified and a listing of objects is provided to the user such as that shown in window 70, an object could be deleted by highlighting an object in listing 71 and selecting delete button 72.

The foregoing SEARCH option and listing of search results depicted in Figure 7 could also be utilized for performing a MODIFY operation to change attribute values of an object. As described above, a SEARCH operation could be performed based on "objectclass=networkprinter", thereby obtaining listing 71 as shown in Figure 7. The user could then highlight an object from listing 71 and select Edit button 73 to perform a MODIFY operation. Upon selecting Edit button 73, the LDAP client obtains the object's attribute values from the directory server and displays them in a listing, such as listing 76 shown in window 75 of Figure 8. To change an attribute value using the LDAP client, the user highlights an attribute in listing 76 such as attribute *cisipaddress* and the value of the highlighted attribute is displayed in box 78. The user selects Modify Value button 77 in window 75 thereby changing box 78 from grey to white, meaning that the box is active for the user to enter a new value. The user enters the new value, which is

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Therefore, it is apparent that the directory structure could be extremely large and if

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## Client Side Software Funtions

The following software functions may be incorporated in a client application program. The functions listed below provide for a client processing multicast messages received by the client that have been multicast by the directory server plug-in. The functions listed below have particular applicability to Netscape Directory Server, however similar functions could be implemented in other directory server client applications and the invention is not limited to Netscape Directory Server.

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void CClientView::OnDirMessage()
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15         This function is used to set the options
        for the operation messages received from the
        directory server. According to the option selected
        in the dialog box, the client becomes a member or
        opts out of the appropriate multicast group. This
20    function also creates a listbox if it was not
        created previously for displaying the messages.

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void CClientView::Cleanup(int flag)
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25           This function cleans up the socket on  
which no more messages are to be received. The  
input parameter *flag* indicates which socket is to be  
closed.

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void CClientView::OnAddMessageReceive()
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30         This function is called when the client
        has registered itself in the multicast group for the
        ADD operation. In this function, the message is
        received and decoded to check whether the message is

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BOOL CClientView::InitializeAddSocket()
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This function is called when the client has registered itself in the multicast group for the

DELETE operation. In this function, the message is received and decoded to check whether the message is a failure or success message. If the user wants the message to be displayed, it is added to the listbox.

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*void CClientView::OnModifyMessageReceive()*

This function is called when the client has registered itself in the multicast group for the MODIFY operation. In this function, the message is received and decoded to check whether the message is a failure or success message. If the user wants the message to be displayed, it is added to the listbox.

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*void CClientView::OnSearchMessageReceive()*

This function is called when the client has registered itself in the multicast group for SEARCH operation. In this function, the message is received and decoded to check whether the message is a failure or success message. If the user wants the message to be displayed, it is added to the listbox

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Figure 17 is a flowchart of process steps of a client application making changes in a directory server, and receiving multicast messages. In the flowchart of Figure 17, it is assumed that the client application is setup to allow a user to make changes in a directory server and also to receive multicast messages. Of course, as described above, the client application could be setup to only perform one or the other and not both. In Figure 17, steps S1701, S1702, S1705 and S1706 relate to receiving multicast messages and therefore, if the client is setup to only allow a user to make changes in the directory server and not to receive multicast

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messages, then these steps may be omitted. Of course, if the client is setup to only receive messages and not to allow a user to make changes in the directory server, then the remaining steps (S1703 and S1704) could be omitted.

As seen in Figure 17, in step S1701, the client initializes and registers a Windows socket for each type of change operation that the client wants to track. For example, if the client wants to track ADD, DELETE, MODIFY and SEARCH operations, the client initializes and registers a separate Windows socket for each operation. The client registers the Windows socket with a multicast group corresponding to the change type. The multicast group IP address is setup by a network administrator.

In step S1702, the client initializes settings for processing received multicast messages. That is, when the client receives a multicast message, the message is processed based on specified settings. An example of a setting is parsing messages based on the result of the operation. The client may be set to only provide notification of a change if the change was successful and to discard or merely log, but not provide notification, if the change operation failed. Of course, other settings may be made and the types of settings included may vary based on the client application.

In step S1703, when a client makes a change in the directory server, the client initializes LDAP communication with the directory server. Once the communication is established, the client, utilizing the MFC classes described above with regard to Figure 3, provides a graphical interface for a user at the client to perform

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The directory server side functions generally comprise three components: initialization

function, post-operation plug-ins, and server configuration.

5           The first component is the initialization function. The initialization function generally performs the following operations: 1) specify the plug-in version, 2) specify information about the plug-in, such as a description of what the plug-in does, 3) register the plug-in functions with the directory server, 4) initialize a Window socket for  
10       sending a multicast packet, and 5) return a value to the directory server whether the operation was a success or failure.

          The initialization function may comprise the following:

15       *int Plugin\_Initialization (Slapi\_PBlock\*pb).*  
      The directory server passes a single argument type *Slapi\_PBlock\*pb* when calling the initialization function. On a Windows NT environment, the initialization function is exported and specified in  
20       the .def file. The export may be as follows:  
      *\_declspec(dllexport) int Plugin\_Initialization (Slapi\_PBlock\*pb).*

          The second component is the post-operation plug-in functions. The post-operation plug-in  
25       functions are called after an LDAP operation is performed in the directory server. The directory server is setup during the initialization stage to call the plug-in functions after the appropriate LDAP operation is performed. Each function  
30       corresponds to an ID in the parameter block (*Slapi\_Pblock\*pb*). In the initialization stage, the name of the function that corresponds to the operation ID is specified. The following post-

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operation plug-in functions are generally supported in Netscape Directory Server.

*int Postop\_Add(Slapi\_Pblock\*pb)*

5           This specifies the function called after an LDAP add operation is performed in the directory server. The ID corresponding to this function is SLAPI\_PLUGIN\_POST\_ADD\_FN.

10          *int Postop\_Delete(Slapi\_Pblock\*pb)*

          This specifies the function called after an LDAP delete operation is performed in the directory server. The ID corresponding to this function is SLAPI\_PLUGIN\_POST\_DELETE\_FN.

15          *int Postop\_Modify(Slapi\_Pblock\*pb)*

          This specifies the function called after an LDAP modify operation is performed in the directory server. The ID corresponding to this function is SLAPI\_PLUGIN\_POST\_MODIFY\_FN.

20          *int Postop\_Search(Slapi\_Pblock\*pb)*

          This specifies the function called after an LDAP search operation is performed in the directory server. The ID corresponding to this function is SLAPI\_PLUGIN\_POST\_SEARCH\_FN.

30               When each of the above functions are called after the corresponding LDAP operation is performed, they get the DN information (Distinguished Name of the operation, e.g. ADD, DELETE, MODIFY, SEARCH) and the result of the operation (whether the operation was a success or failure). The data (DN and result or any other

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Figure 10 depicts window 100 which may be displayed on a display of client 13. In order to have window 100 displayed, a user at client 13

activates the LDAP client application and configures the directory server objectclass as described with regard to Figure 5. After having configured the server, the LDAP client establishes communication with directory server 11, thus displaying window 100. Window 101 of window 100 depicts the objectclass designated in the configuration and window 102 depicts the objects contained within the designated objectclass.

Figure 10 may also be displayed on a display of client/administrator 15. In order to have window 100 displayed, the network administrator activates the LDAP client application program running on client/administrator 15. In the same manner as described above, the LDAP client application on client/administrator 15 establishes communication with directory server 30 and window 100 is displayed. Window 100 displayed on client/administrator 15 depicts the same directory server objectclass and objects as seen on client 13.

The user at client 13 wants to add a new printer (called "TestPrinter") to the directory OU=NetworkPrinters. To add the new printer, the user selects Directory Operations button 105 in window 100 which displays a cascading menu with options for the user to select, including an ADD option. The user selects the ADD option from the cascading menu, thereby activating window 60 as seen in Figure 6. As previously described, any required attribute values for the new object are retrieved from the directory server. The user enters the name "TestPrinter" in Name box 65 and selects OK button 66 to have the new printer committed to the

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Upon committing the new printer to the directory server, the ADD plug-in in directory server 30 is called and performs a post-operation procedure to generate an information packet containing information about the added printer. The ADD plug-in multicasts the generated information packet to multicast IP address 225.6.7.8 (the multicast IP address corresponding to ADD messages).

At a time immediately after the change was committed to the directory server by the LDAP client application, and before client/administrator 15 receives the multicast message, the client application on client/administrator 15 appears as window 100 shown in Figure 10. However, after having received the multicast message, window 100 is refreshed and appears as window 300 seen in Figure

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in Figure 15 and selects Action button 405. A cascading menu is displayed that provides options for the user to select from, one of which is a MODIFY option. Upon selecting the modify option, window 280 (as shown in Figure 13) is displayed which includes listing 282 of attributes (properties) for TestPrinter 450. The user selects an attribute from listing 282 and enters the new value in box 285. The new value is not committed to the directory server at this time, but is temporarily stored in cache until the user selects OK button 288 or Apply button 289. Upon selecting either of buttons 288 or 289, the new attribute value is committed to the directory server, thereby activating the MODIFY plug-in in directory server 30 to perform a post-operation procedure to generate a multicast information packet and to multicast it to IP address 225.6.7.10 (the IP address corresponding to MODIFY changes).

Since client application 41 in client/administrator 15 has registered as a member of multicast group 225.6.7.10, it receives the multicast information packet and processes it according to pre-set options. In the present example, client application 41 has been set to store multicast messages relating to MODIFY operations in a log file. Therefore, when client application 41 receives the multicast packet, it merely stores the information in a log file, whereby the network administrator can review the change information at a later time.

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As can be seen from the above examples, an LDAP client application program can make changes in a directory server. A plug-in in the directory server is called when the change is committed to the directory server. The plug-in generates a multicast information packet containing information about the type of change made by the LDAP client. The plug-in then multicasts the information packet to a multicast IP address corresponding to the type of change. Clients who have registered as members of the multicast group that the plug-in multicasts the information packet to receive the information packet. Upon receiving the information packet, the client application processes the packet based on settings within the client application. Therefore, network administrators can track changes made in directory servers merely by registering as a member of a multicast group and they do not have to maintain a constant connection with the directory server in order to obtain change information.

The invention has been described with particular illustrative embodiments. It is to be understood that the invention is not limited to the above-described embodiments and that various changes and modifications may be made by those of ordinary skill in the art without departing from the spirit and scope of the invention.